KPG-5089US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant: Filed:

10

TAO et al Herewith

Title:

PROCESS FOR THE PREPARATION OF CYANINE DYES WITH

POLYSULFONATE ANIONS

TC/A.U.:

Unknown Unknown

Examiner:

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. Copies of each of the listed references, excluding the U.S. references, are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The examiner's attention is called to the following copending applications:

- 1) ' "Infra Red Absorbing Compounds and Their Use in Photoimageable Elements," Serial Number 10/384,989, filed March 10, 2003, pending in Group Art Unit 1756, Examiner unknown.
- 2) "Selected Acid Generating Agents and Their Use in Processes for Imaging Radiation-Sensitive Elements," Serial Number 10/155,696, filed May 24, 2002, pending in Group Art Unit 1752, Examiner John S Y Chu.

KPG-5089US PATENT

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Bruce M. Monroe, Reg. No. 33,602

Attorney for Applicant

Enclosures: PTO Form 1449

(4) References Transmittal Form

Dated: November 25, 2003

P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

**EXPRESS MAIL** 

Mailing Label Number:

EV 333298245 US

Date of Deposit:

November 25, 2003

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Liz Ashton

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO			Complete if Known					
			Application Number	To Be Assigned				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	November 25, 2003				
			First Named Invento	TAO				
(Use as many sheets as necessary)			Art Unit	Unknown Unknown				
			Examiner Name					
SHEET 1 of 1			Attorney Docket No.	KPG-5089US				
		U.S. PA	TENT DOCUMENT	rs				
I			1	4				

		SHEEL	1011 /	~!!!!!!	y Docket No.	KFG-00	0000		
			U.S. PATE	NT D	OCUMENTS				
Examiner Initials*	Cite No.1					of Patentee or of Cited Document		Pages, Columns, Lines, Whe Relevant Passages or Releva Figures Appear	
			7/19/1994		FABRICIUS	et al			
		US-5,397,690	3/14/1995		FABRICIUS			<del> </del>	
		US-6,159,657	12/12/2000	,	FLEMING et				
		US-							
		US-				-			• • • • • • • • • • • • • • • • • • • •
		US-							
		US-	1						
		US-							
		US-	<u> </u>						
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		F	OREIGN PA	TENT	DOCUMEN.	TS			
Examiner	Cite	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)			Publication Date		of Patentee or licant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.			(MM-DD-YYYY)		Document		Figures Appear	T <sup>6</sup>
	EP 0591820 A1 JP 10-226170			4/13/1994		-			
		P 11-119421		8/25/1998					
		JP 2001-277718		4/30/1999					
		JF 2001-2///16			10/10/2001				
			<del>-</del>						
Evaminos				<u> </u>			Data		
Examiner Signature							Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

<sup>9</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.